# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. <u>B-4618DIV 621849-9</u>

Anticipated Classification

of this application

Class \_\_\_\_\_ Subclass \_\_

Prior application: 10/153,348

Examiner: Lan Vinch Art Unit: 1765

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

EA30705P55272



Sir:

CONTINUATION OR DIVISIONAL FILING UNDER 37 C.F.R. § 1.53(b)

This is a request for filing a

[ ] Continuation [X] Divisional application under 37 C.F.R. § 1.53(b), of pending prior U.S. Application Serial No. <u>10/153,348</u> filed on <u>May 21, 2002</u> of <u>(1)</u> Ronfu CHU (2) Li-Wu TSAO for "CMP MACHINE DRESSER AND METHOD FOR DETECTING THE DISLODGEMENT OF DIAMONDS FROM THE SAME".

#### Prior Application 1.

[X] I hereby verify that the attached papers are a true copy of what is shown in my records to be the aboveidentified prior application (USSN 10/153,348), including 5 pages of specification, 2 pages of claims, 1 page of abstract, the oath or declaration (3 pages), and 2 sheets of drawings (Figs. 1-4) as originally filed. The attached true copy constitutes the above-identified divisional application (please also see 8 for drawing requirements.)

> Under 37 C.F.R. § 1.53(b) practice, signing and execution of the application by the applicant may be omitted provided the application is a continuation or divisional which adds neither new matter nor new inventors to the parent application, and a copy of the original declaration or oath from the parent application is supplied with the new application ~ 37 C.F.R. § 1.63(d)(1)

# CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this paper and the documents referred to as attached therein are being deposited with the United States Postal Service on this date May 5, 2004 in an envelope as "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Number  $\underline{EV301026775US}$  addressed to the

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450"

Katherine Nieva

Type or print name of person mailing paper)

NOTE: Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing - 37 C.F.R. § 1.10(b).

37 C.F.R. § 1.53(b) - Page 1 of 4

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<i>.</i> .	Amendmen	

- [ ] Cancel in this application original claims \_\_ of the prior application before calculating the filing fee.
- [X] A preliminary amendment is enclosed.

NOTE: Only amendments reducing the number of claims will be entered before calculating the final

#### 3. Fee Calculation

#### CLAIMS FOR FEE CALCULATION

Number Filed	Number Extra Rate		Rate	Basic Fee \$770.00	
Total Claims	6 - 20 =	0 x	\$ 18.00	0	
Independent Claims	1 - 3 =	0 x	\$ 86.00	0	
Multiple dependent Claim(s), if any		0 x	\$ 290.00	0	

[ ] The fee for extra claims is not being paid at this time.

NOTE: If the fees for extra claims are not paid on filing they must be paid or the claims canceled by amendments, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency. 37 C.F.R. § 1.16(d).

Filing fee calculation \$ 770.00

- 4. Small Entity Status
  - [ ] a verified statement that this is a filing by a small entity is attached.
  - [ ] a verified statement has previously been filed in the parent application (37 C.F.R. § 1.28 (a)).

Filing fee calculation (50% of above) \$ \_\_\_\_\_

If a verified statement is filed within two (2) months of the date of payment of the first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

5. Fee Payment Being Made At This Time

## Not enclosed

[ ] no filing fee is submitted. This and the surcharge required by 37 C.F.R. § 1.16(e) can be paid subsequently.

#### Enclosed

[X] filing fee

\$ 770.00

- 6. Method of Payment of Fees
  - [X] enclosed is a check in the amount of \$\frac{770.00}{2}\$
  - [ ] charge account number 12-0415 in the amount of \$

    NOTE: Pees should be itemized in such a manner that it is clear for which purpose the fees are paid 37 C.F.R. § 1.22(b)

37 C.F.R. § 1.53(b) - Page 2 of 4

- 7. Authorization to Charge Additional Fees
  - The Commissioner is hereby authorized to charge the following additional fees which may be required to Account No. 12-0415:
  - [X] 37 C.F.R. § 1.16 (filing fees and presentation of extra claims)
  - [X] 37 C.F.R. § 1.17 (application processing fees)
  - [ ] 37 C.F.R. § 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b).
  - NOTE: 37 C.F.R. § 1.28(b) requires "Notification of any change in status resulting in loss of entitlement to small entity status must be filed in the application...prior to paying...issue fee."

# 8. Drawings

[ ] Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this request is enclosed for filing in the prior application file. (May only be used if signed by (1) the applicant, (2) the assignee of record or (3) the attorney or agent of record authorized by 37 C.F.R. § 1.138 and before payment of issue fee.)

NOTE: DO NOT check this box if prior case is not to be abandoned.

- [X] Drawings are enclosed (see true copy of prior application USSN 10/153,348)
- 9. Priority 35 U.S.C. 119
  - [X] Priority of application Serial No. 90128314 filed on November 15, 2001 in TAIWAN is claimed under 35 U.S.C. 119.
  - [X] The certified copy has been filed in U.S. Application No. <u>10/153,348</u> filed on <u>May 21, 2002</u>.
- 10. Relate Back 35 U.S.C. 120

The Preliminary Amendment presents an amendment to the specification to relate this divisional application to its parent.

- 11. Assignment
  - [X] The prior application is assigned of record to NANYA TECHNOLOGY CORPORATION
- [X] Attached is the following number of Assignments: 1 and respective **new** Cover Sheets. (Do **NOT** file old cover sheets.)
- 12. Power of Attorney CUSTOMER NO.: 36716

a.	[11]	prior application	e Originar papers in the
b.	[ ]	Since the power does not	appear in the original ower in the prior
		application is enclosed.	•
c.	[ ]	A copy of the executed S	Supplemental
		Declaration/Power is end	closed herewith.
d.	[X]	Address all future commu	unications to:
		Richard P. Berg	
		c/o LADAS & PARRY	
		5670 Wilshire Boule	evard, Suite 2100
		Los Angeles, Califo	ornia 90036-5679
e.	[ ]	An Associate Power of At	ctorney has been executed
(May	only b	pe completed by applicant, or	attorney or agent of record).
Main	tenan	ce of Copendency of Prior	Application
[ ]			
		<u>04</u>	Signature Ross Schmitt Registration No. 42,529
Addr	ess o	f Signatory	
c/o	5670 Suit Los Tele	Wilshire Boulevard e 2100 Angeles, Ca 90036-5679 phone No. (323) 934-2300	[] Inventor(s) [] Assignee of complete interest [x] Attorney or agent of record [] Filed under Rule 34(a)
	b.  c. d.  (May Main []  May Date	c. [] d. [x]  e. [] (May only be the the the the the the the the the th	prior application  b. [] Since the power does not papers, a copy of the property of the property of the executed Supplication is enclosed.  c. [] A copy of the executed Supeclaration/Power is encoded.  Richard P. Berg c/o LADAS & PARRY 5670 Wilshire Bould Los Angeles, Caliform and is attached.  (May only be completed by applicant, or Maintenance of Copendency of Prior [] A petition, fee and response the term in the pending prior the term in the pending prior complete service of the term in the pending prior complete service and supplications.  May 5, 2004  Address of Signatory  C/o LADAS & PARRY 5670 Wilshire Boulevard Suite 2100 Los Angeles, Ca 90036-5679 Telephone No. (323) 934-2300

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REGARDING THE U.S. PATENT APPLICATION OF:

Ronfu CHU, et al.

ENTITLED: "CMP MACHINE DRESSER AND METHOD FOR DETECTING THE DISLODGEMENT OF DIAMONDS FROM THE SAME"

New U.S. Divisional patent application based on USSN 10/153,348 Our Ref: <u>B-4618DIV 621849-9</u>

Commissioner for Patents P.O. BOX 1450 Alexandria, VA 22313-1450

# EXPRESS MAIL CERTIFICATE

"Express Mail" label number **EV301026775US** 

Date of Deposit: May 5, 2004

I hereby certify that the following attached papers or fee:

- postcard
- check no. 18895 for \$770.00
- Transmittal letter with Certification under 37 CFR 1.10 (4 pages)
- Preliminary amendment (5 pages)
- True copy of parent application U.S. Patent Appln. No.

  10/153,348 consisting of: 5 pages of specification, 2
  pages of claims, 1 page of abstract, the oath or
  declaration (4 pages), and 2 sheets of drawings (Figs. 1-4)
  as originally filed
  - Claim to Priority (1 page)
  - Assignment document (1 page) with Cover Sheet (1 page), and check no. 18896 for \$40.00

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the, Commissioner for Patents, P.O. BOX 1450, Alexandria, VA 22313-1450.

<u>Katherine Nieva</u>

(Typed or printed name of person mailing paper or fee)

(Signature)